6/P2/6/

Docket No.: SONY 3.0-034 (PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Maritzen et al.

Application No.: 10/015,070

Filed: December 11, 2001

For: METHOD AND SYSTEM OF CONDUCTING

NETWORK-BASED TRANSACTIONS

Commissioner for Patents Washington, DC 20231

Group Art Unit: 2161

Examiner: Not Yet Assigned



INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each piece of information is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited information is legally available prior art or that the same are pertinent or material.

This Information Disclosure Statement is being filed before a first Office Action on the merits and, therefore, no fee is believed due. In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: May 28, 2002

JUL 0 3 2002

GROUP 3600

Respectfully submitted,

Matthew B. Dernier
Registration No: 40.9

Registration No.: 40,989

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorneys for Applicant

370235_1.DOC

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: May 28, 2002

Signature

(Matthew B. Dernier)

O P E VOJO SENIO S

PTO/SB/08A (10-01)
Approved for use through 10/31/2002.OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known		
				Application Number	10/015,070	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Filing Date	December 11, 2001	
				First Named Inventor	Michael L. Maritzen	
				Art Unit	2161	
				Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-034	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	5,737,701 //	04/07/98	Rosenthal et al.	A	
	AB	6,105,010	08/15/00	Musgrave	750	
	AC					
	AD				> UN VA	
	AE				ech 0 -	
-	AF				110/00 200	
	AG				Technology Conter 2	
	AH				nter-	
	Al				100</td	
	AJ				90	

FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	П	
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T	
	BA	WO 99/06928	02/11/99				

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
	CA	DRURY; Demand Jumps For High-Tech ID Producer; Business First; 22 October 2001; Vol. 18, No. 3, page 1.			
	СВ				
	CC				

Examiner	 Date	
Signature	Considered	

RECEIVED
JUL 0 3 2002
GROUP 3600

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.